

SECURITY COUNCIL COMMITTEE
ESTABLISHED PURSUANT TO
RESOLUTION 1533 (2004) CONCERNING
THE DEMOCRATIC REPUBLIC OF THE CONGO

**GUIDELINES OF THE COMMITTEE FOR THE CONDUCT OF ITS WORK
as adopted by the Committee on 6 August 2010¹**

1. The Security Council Committee established pursuant to resolution 1533 (2004) concerning the Democratic Republic of the Congo

- (a) The Security Council Committee established pursuant to resolution 1533 (2004) concerning the Democratic Republic of the Congo shall hereinafter be referred to as “the Committee”. Its mandate is defined in paragraph 8 of resolution 1533 (2004), paragraph 18 of resolution 1596 (2005), paragraph 4 of resolution 1649 (2005), paragraph 14 of resolution 1698 (2006), paragraph 15 of resolution 1807 (2008), paragraphs 6, 18 and 25 of resolution 1857 (2008), and subparagraphs 4 (a), 4(b) and 4(c) of resolution 1896 (2009).
- (b) The Committee is a subsidiary organ of the Security Council and consists of all the members of the Council.
- (c) The Chairman of the Committee will be appointed by the Security Council to serve in his/her personal capacity. The Chairman will be assisted by two delegations who will act as Vice-Chairmen, and who will also be appointed by the Security Council.
- (d) The Committee is assisted by a Group of Experts originally established by resolution 1533 (2004);
- (e) The Secretariat of the United Nations will provide the Committee with secretariat support.

¹ These guidelines are available on the Committee’s webpage:
<http://www.un.org/sc/committees/1533/guidelines.shtml>.

2. Mandate of the Committee

(a) The Committee's mandate, as specified in paragraph 8 of resolution 1533 (2004) and paragraph 18 of resolution 1596 (2005), and expanded in paragraph 4 of resolution 1649 (2005) and paragraph 14 of resolution 1698 (2006), as reaffirmed in paragraph 15 of resolution 1807 (2008) and further expanded in paragraphs 6, 18 and 25 of resolution 1857 (2008) and subparagraphs 4 (a), (b) and (c) of resolution 1896 (2009), is as follows:

(b) to seek from all States, and particularly those in the region, information regarding the actions taken by them to implement effectively the measures imposed by paragraphs 1, 6, 8, 9 and 11 of resolution 1807 (2008) and to comply with paragraphs 18 and 24 of resolution 1493, and thereafter to request from them whatever further information it may consider useful, including by providing States with an opportunity, at the Committee's request, to send representatives to meet with the Committee for more in-depth discussion of relevant issues;

(c) to examine and to take appropriate action on information concerning alleged violations of the arms embargo as renewed by paragraph 1 of resolution 1896 (2009) and information on alleged arms flows including the sources of financing of armed groups and militias such as the illegal exploitation of natural resources, identifying where possible individual and legal entities reported to be engaged in such violations, as well as aircraft or other vehicles used;

(d) to examine and to take appropriate action and information on alleged arms flows highlighted in the reports of the Panel of Experts on the Illegal Exploitation of Natural Resources and Other Forms of Wealth in the Democratic Republic of the Congo, identifying where possible individual and entities reported to be engaged in such violations, as well as aircraft or other vehicles used;

(e) to designate persons and entities with respect to the measures set forth in paragraphs 6, 10, 13 and 15 of resolution 1596 (2005), including aircraft and airlines, in accordance with the criteria set out in subparagraphs 4 (a) to (g) of resolution 1857 (2008);

(f) to review regularly the list of individuals and entities designated by the Committee (hereafter referred to as the List) with a view to keeping the List as updated and accurate as

possible and to confirm that listing remains appropriate and to encourage Member States to provide any additional information whenever such information becomes available;

(g) in coordination with the relevant designating States and with the assistance of the Group of Experts, to update the publicly available reasons for listing and identifying information for the individuals and entities and, after a name is added to the List, to make accessible on the Committee's website a narrative summary of reasons for listing;

(h) to call upon all States concerned, and particularly those in the region, to provide the Committee with information regarding the actions taken by them to investigate and prosecute as appropriate persons or entities designated by the Committee;

(i) to consider and decide on requests for the exemptions to the travel and financial restrictions set out respectively in paragraphs 14 and 16 of resolution 1596 (2005), and paragraph 3 of 1649 (2005);

(j) to promulgate guidelines taking into account paragraphs 17 to 24 of resolution 1857 (2008), to facilitate the implementation of the measures renewed by resolution 1896 (2009);

(k) to present regular reports to the Council on its work, with its observations and recommendations, in particular on the ways to strengthen the effectiveness of the measures imposed by the Security Council and implemented by the Committee;

(l) to review, in cooperation with the Group of Experts, flight registries maintained by each State in the region, in particular those of States bordering Ituri and the Kivus, as well as that of the Democratic Republic of the Congo, in accordance with paragraph 7 of resolution 1596 (2005);

(m) to receive notifications in advance from States made under paragraph 5 of resolution 1807 (2008), to inform MONUC and the Government of the Democratic Republic of the Congo of every notification received, and to consult with the Government of the Democratic Republic of the Congo and/or the notifying State, if appropriate, to verify that such shipments are in conformity with the measures set forth in paragraph 1 of resolution 1807 (2008), as renewed by paragraph 1 of resolution 1896 (2009), and to decide, as necessary, upon any action to be taken;

- (n) to hold regular consultations with Member States in order to ensure the full implementation of the measures set forth in the relevant resolutions;
- (o) to specify the necessary information that Member States should provide in order to fulfil the notification requirement set out in paragraph 5 of resolution 1807 (2008) and to circulate this among Member States.

3. Meetings of the Committee

- (a) Meetings of the Committee, both formal and informal, will be convened at any time the Chairman deems necessary, or at the request of a Member of the Committee. Two working days notice will be given for any meeting of the Committee, although shorter notice may be given in urgent situations.
- (b) The Chairman will chair formal meetings and informal consultations of the Committee. When he/she is unable to chair a meeting, he/she will nominate one of the Vice-Chairmen or another representative of his/her Permanent Mission to act on his/her behalf.
- (c) The meetings and informal consultations of the Committee will be closed, unless the Committee decides otherwise. The Committee may invite, subject to consensual decision, non-members of the Committee, including other UN Member States, the Secretariat, regional and international organizations, NGOs and individual experts, to participate in its meetings and informal consultations for the purpose of providing information or explanations relating to any violations or alleged violations of the sanctions measures imposed by relevant resolutions, or to address the Committee and assist it, on an *ad hoc* basis, if necessary and useful to the progress of its work. The Committee will consider requests from Member States to send representatives to meet with the Committee for more in-depth discussion of relevant issues.
- (d) The meetings and informal consultations of the Committee will be announced in the Journal of the United Nations.
- (e) The Committee may invite the members of the Group of Experts on the DRC to attend its informal consultations and meetings, as appropriate.

4. Decision-making

- (a) The Committee will reach all decisions by consensus of its members.
- (b) If consensus cannot be reached on a particular issue, the Chairman will undertake consultations, or encourage bilateral exchanges, between Member States, as he/she deems appropriate, to resolve the issue and to ensure the effective functioning of the Committee.
- (c) If, after these consultations, consensus still cannot be reached, the matter may be referred to the Security Council.
- (d) Decisions may be made by a “no-objection procedure”. In such cases, the Chairman will circulate to all members of the Committee the proposed decision of the Committee, and will request members of the Committee to indicate, in written form, any objection they may have to the proposed decision within five working days (in emergency situations the Chairman may decide to reduce that time period after notifying all Committee members accordingly). In exceptional cases, the Committee may decide to extend that time period. If no objection is received within the defined period, the proposed decision will be deemed adopted. Objections received after the defined period will not be considered.
- (e) A hold placed on a matter by a member of the Committee will cease to have effect at the time its membership of the Committee ends. New members shall be informed of all pending matters one month before their membership begins and are encouraged to inform the Committee of their position on relevant matters, including possible approval, objection or hold, at the time they become members.
- (f) The Committee will review at least once a month the status of pending issues as updated by the Secretariat.

5. Listing

- (a) The Committee will decide on the designation of individuals and entities on the basis of criteria contained in paragraph 4 of resolution 1857 (2008); a request by a Member State; the list submitted by the Group of Experts pursuant to paragraph 10(g) of resolution 1533

(2004); and on the basis of information conveyed by the Security Council Working Group on Children and Armed Conflict or the Special Representative of the Secretary-General for Children and Armed Conflict in pursuance of paragraph 17 of resolution 1698 (2006).

(b) The Committee will consider all requests from United Nations Member States, submitted in writing, to add the names of individuals to the List within five working days, as decided by the Committee, from the date of official transmittal of such requests to Committee members. If no objections are received within the defined time period, the additional names will be promptly incorporated in the List.

(c) Member States shall provide a detailed statement of case in support of the proposed listing that forms the basis or justification for the listing in accordance with the relevant criteria contained in paragraph 4 of resolution 1857 (2008). The statement of case should provide as much detail as possible on the basis for listing indicated above, including: (1) specific findings and reasoning demonstrating that the criteria are met; (2) the nature of the supporting evidence (e.g., reports by the Group of Experts, intelligence, law enforcement, judicial, media, admissions by subject, etc.); and (3) supporting evidence or documents that can be supplied. States should include details of any connection with a currently listed individual or entity. States shall identify those parts of the statement of case that may be publicly released, including for the use by the Committee for development of the summary described in paragraph (g) below or for the purpose of notifying or informing the listed individual or entity of the listing, and those parts that may be released upon request to interested States.

(d) Proposed additions to the List shall include as much relevant and specific information as possible on a proposed name, in particular sufficient identifying information to allow for the positive identification of the individual, group, undertaking or entity concerned by competent authorities, including:

- For individuals: family name/surname, given names, other relevant names, date of birth, place of birth, nationality/citizenship, gender, aliases, employment/occupation, residence, passport or travel document (including date and place of issue) and national identification number, current and previous addresses, website addresses, and current location;

- For groups, undertakings or entities: name, acronyms, address, headquarters, subsidiaries, affiliates, fronts, nature of business or activity, leadership, tax or other identification number and other names by which it is known or was formerly known, and website addresses.

(e) The Committee will consider expeditiously requests to update the List. If a proposal for listing is not approved within the decision-making period as set out in paragraph 4 (d) above, the Committee will provide feedback to the submitting State on the status of the request.

(f) In its communication to inform Member States of new entries to the List, the Secretariat shall include the publicly releasable portion of the statement of case.

(g) Following a new listing, the Committee, with the assistance of the Group of Experts on the DRC, and in coordination with the relevant designating State(s), shall make accessible on the Committee's website a narrative summary of reasons for listing for the corresponding entry or entries on the List.

(h) The Secretariat shall, after publication but within one week after a name is added to the List, notify the Permanent Mission of the country or countries where the individual or entity is believed to be located and, in the case of individuals, the country of which the person is a national (to the extent this information is known). The Secretariat shall include with this notification a copy of the publicly releasable portion of the statement of case, a description of the effects of designation, as set forth in the relevant resolutions, the Committee's procedures for considering delisting requests, and the provisions for available exemptions. The letter shall remind States receiving such notification that they are required to take, in accordance with their domestic laws and practices, all possible measures to notify or inform in a timely manner the newly listed individuals and entities on the List of the measures imposed on them, any information on reasons for listing available on the Committee's website as well as all the information provided by the Secretariat in the above-mentioned notification.

6. The List

(a) The Committee will maintain one List for individuals and entities designated pursuant to the criteria set out in paragraph 4 of resolution 1857 (2009).

- (b) The Committee will update regularly the List when it has agreed to include or delete relevant information in accordance with the procedures set out in these guidelines.
- (c) The updated List will be made promptly available on the website of the Committee.² At the same time, any modification to the List will be communicated to Member States immediately through Notes Verbales, including an electronic advance copy, and United Nations Press Releases.
- (d) Once the updated List are communicated to Member States, States are encouraged to circulate them widely, such as to banks and other financial institutions, border points, airports, seaports, consulates, customs agents, intelligence agencies, alternative remittance systems and charities.
- (e) For all entries on the List, the Committee, with the assistance of the Group of Experts and in coordination with the relevant designating State(s), shall make accessible on its website narrative summaries of reasons for listing.

7. De-listing

- (a) Member States may submit delisting requests at any time.
- (b) Without prejudice to available procedures, a petitioner (individual(s), groups, undertakings, and/or entities on the List) may submit a petition to request review of the case.
- (c) A petitioner seeking to submit a request for de-listing can do so either directly to the Focal Point as outlined in paragraph (g) below, or through his/her State of residence or nationality as outlined in paragraph (h) below.
- (d) A State can decide that as a rule, its nationals or residents should address their de-listing requests directly to the Focal Point. The State will do so by a declaration addressed to the Chairman that will be published on the Committee's website.

² http://www.un.org/sc/committees/1533/pdf/1533_list.pdf

(e) The petitioner should explain in the de-listing request why the designation does not or no longer meets the criteria described in paragraph 4 of resolution 1857 (2008), in particular through countering the reasons for listing as stated in the narrative summary and the publicly releasable portion of the statement of case described above. The de-listing request should also include the petitioner's current occupation and/or activities, and any other relevant information. Any documentation supporting the request can be referred to and/or attached together with the explanation of its relevance, where appropriate.

(f) For a deceased individual, the petition shall be submitted either directly to the Committee by a State, or through the Focal Point by his/her legal beneficiary, together with an official documentation certifying that status. The statement of case supporting the de-listing request shall include a death certificate or similar official documentation confirming the death. The submitting State or the petitioner should also ascertain and inform the Committee whether or not any legal beneficiary of the deceased's estate or any joint owner of his /her assets is on the List.

(g) If a petitioner chooses to submit a petition to the Focal Point, the latter would perform the following tasks:

i. Receive de-listing requests from a petitioner (individual(s), groups, undertakings and/or entities on the List);

ii. Verify if the request is new or is a repeated request;

iii. If it is a repeated request and if it does not contain any additional information, return it to the petitioner;

iv. Acknowledge receipt of the request to the petitioner and inform the petitioner on the general procedure for processing that request;

v. Forward the request, for their information and possible comments to the designating State(s) and to the State(s) of nationality and residence. These States are urged to review de-listing petitions in a timely manner and indicate whether they support or oppose the request in order to facilitate the Committee's review. The State(s) of nationality and residence are

encouraged to consult with the designating State(s) before recommending de-listing. To this end, they may approach the Focal Point, which, if the designating State(s) so agree(s), will put them in contact with the designating State(s);

a. If, after these consultations, any of these States recommend de-listing, that State will forward its recommendation, either through the Focal Point or directly to the Chairman, accompanied by that State's explanation. The Chairman will then place the de-listing request on the Committee's agenda;

b. If any of the States, which were consulted on the de-listing request under subparagraph v. above oppose the request, the Focal Point will so inform the Committee and provide copies of the de-listing request. Any member of the Committee, which possesses information useful for evaluating the de-listing request, is encouraged to share such information with the States that reviewed the de-listing request under subparagraph v. above;

c. If, after a reasonable time (3 months), none of the States which reviewed the de-listing request under subparagraph v. above comment, or indicate that they are working on the de-listing request to the Committee and require an additional definite period of time, the Focal Point will so notify all members of the Committee and provide copies of the de-listing request. Any member of the Committee may, after consultation with the designating State(s), recommend de-listing by forwarding the request to the Chairman, accompanied by an explanation. (Only one member of the Committee needs to recommend de-listing in order to place the issue on the Committee's agenda.) If after one month, no Committee member recommends de-listing, then it shall be deemed rejected and the Chairman shall inform the Focal Point accordingly;

vii. The Focal Point shall convey all communications, which it receives from Member States, to the Committee for its information;

viii. Inform the petitioner:

a. Of the decision of the Committee to grant the de-listing petition; or

b. That the process of consideration of the de-listing request within the Committee has

been completed and that the petitioner remains on the List of the Committee.

ix. Where appropriate, the Focal Point will inform the reviewing States of the outcome of the de-listing petition.

(h) If the petitioner submits the petition to the State of residence or nationality, the procedure outlined in the subparagraphs below shall apply:

i. The State to which a petition is submitted (the petitioned State) should review all relevant information and then approach bilaterally the designating State(s) to seek additional information and to hold consultations on the de-listing request;

ii. The designating State(s) may also request additional information from the petitioner's State of nationality or residence. The petitioned and the designating State(s) may, as appropriate, consult with the Chairman during the course of any such bilateral consultations;

iii. If, after reviewing any additional information, the petitioned State wishes to pursue a de-listing request, it should seek to persuade the designating State(s) to submit jointly or separately a request for de-listing to the Committee. The petitioned State may, without an accompanying request from the designating State(s), submit a request for de-listing to the Committee, pursuant to the no-objection procedure;

iv. Where appropriate, the Chairman will inform the reviewing States of the outcome of the de-listing petition.

(i) The Secretariat shall, within one week after a name is removed from the List, notify the Permanent Mission of the country or countries where the individual or entity is believed to be located and, in the case of individuals, the country of which the person is a national (to the extent this information is known). The letter shall remind States receiving such notification that they are required to take measures, in accordance with their domestic laws and practices, to notify or inform the concerned individual or entity of the de-listing in a timely manner.

8. Updating the existing information on the List

- (a) The Committee shall consider and decide, in accordance with the following procedures, on updating the List, with additional identifying information and other information, along with supporting documentation, including the movement, incarceration, or death of listed individuals and other significant events, as such information becomes available.
- (b) The Committee may approach the original designating State and consult with it on the relevance of the submitted additional information. The Committee may also encourage Member States or regional or international organizations providing such additional information to consult with the original designating State. The Secretariat will, subject to the designating State's consent, assist in establishing the appropriate contacts.
- (c) The Group of Experts will, as appropriate, review the information received by the Committee in order to clarify or confirm such information. In this connection, the Group of Experts will use all sources available to it, including other sources than those provided by the original designating State.
- (d) The Group of Experts will subsequently advise the Committee, within 4 weeks, if such information could be included in the List, or if further clarification is recommended in order to ascertain that the information received can be incorporated in the List. The Committee shall decide whether and how such clarification should be obtained and may again call upon the expertise of the Group of Experts.
- (e) The Group of Experts may also submit to the Committee any information on listed individuals and entities it has obtained from publicly available official sources, or with the help of international agencies, such as Interpol, with their agreement. In such cases, the Group of Experts shall identify the source of each piece of new information when presenting it for the Committee's consideration.
- (f) Upon the decision of the Committee to incorporate additional information into the List, the Chairman of the Committee will inform the Member State or regional or international organization that submitted the additional information accordingly.

9. Exemptions to the Travel Restrictions

(a) The Committee will determine whether the travel is justified on the basis of the provisions of paragraph 14 of resolution 1596 (2005) or 3 of 1649 (2005), or where the Committee determines on a case-by-case basis that an exemption would further the objectives of peace and national reconciliation in the Democratic Republic of the Congo and stability in the region.

(b) Each request for exemption to the travel restrictions first imposed under paragraph 13 (a) of resolution 1596 (2005), shall be submitted in writing, on behalf of the listed individual, to the Chairman through the Permanent Mission to the United Nations of the State of which the listed individual is a national or resident, or through the relevant UN office.

(c) Except in cases of emergency, to be determined by the Chairman, all requests shall be received by the Chairman no less than five working days before the commencement date of the proposed travel.

(d) All requests should include the following information, with accompanying documents to the extent possible:

(i) The name, designation, nationality and passport number(s) of the person(s) undertaking the proposed travel.

(ii) The purpose(s) of the proposed travel, with copies of supporting documents furnishing details connected to the request such as specific dates and times of meetings or appointments.

(iii) The proposed dates and times of departure from and return to the country from which the travel commenced.

(iv) The complete itinerary for such travel including the ports of departure and return and all transit stops.

(v) Details of the mode of transport to be used, including where applicable, record locator, flight numbers and names of vessels.

- (e) Any request for extension(s) of exemptions approved by the Committee pursuant to paragraph 14 of resolution 1596 (2005) or paragraph 3 of resolution 1649 (2005), shall also be subject to the provisions above, and shall be received by the Chairman in writing, attaching the revised itinerary, no less than five working days before the expiry of the approved exemption period, and circulated to Committee members.
- (f) In cases where the Committee approves requests for exemptions to the travel restrictions, the Chairman will write to the Permanent Mission to the United Nations of the State of which the listed individual is a national or resident or to the relevant UN office, informing them of the approval. Copies of the approval letter will also be sent to the Permanent Missions to the United Nations of all State(s) to which the listed individual will be traveling and transiting in the course of the approved exemption.
- (g) The Committee shall receive written confirmation from the State in whose territory the listed individual resides or from the relevant UN office, with supporting documents, confirming the itinerary and date on which the listed individuals travelling under an exemption granted by the Committee returned to the country of residence.
- (h) All requests for exemptions and extensions thereto, which have been approved by the Committee pursuant to paragraph 14 of resolution 1596 (2005), or paragraph 3 of resolution 1649 (2005), shall be posted on the Committee's webpage until confirmation of the return to the country of residence of the listed individual is received by the Committee.
- (i) Any changes to the required travel information previously submitted to the Committee, particularly the points of transit, shall require the prior approval of the Committee and shall be received by the Chairman and circulated to the Committee members no less than five working days prior to the commencement of the travel, except in cases of emergency, as determined by the Chairman.
- (j) The Chairman shall be informed in writing immediately in the event of advancement or postponement of travel for which the Committee has already issued an exemption. Submission to the Chairman of written notification will be sufficient in cases where the time of departure is advanced or postponed no more than 48 hours and the previously submitted itinerary remains otherwise unchanged. If travel is to be advanced or postponed more than 48

hours before or after the date previously approved by the Committee, then a new exemption request must be submitted, and should be received by the Chairman and circulated to Committee members.

(k) For exemption requests based on medical or other humanitarian need, the Committee will determine whether the travel is justified within the exemption of paragraph pursuant to paragraph 14 of resolution 1596 (2005), or paragraph 3 of resolution 1649 (2005),, once informed of the name of the traveler, the reason for travel, the date and time of treatment, along with flight details, including transit points and destinations(s). In cases of emergency medical evacuations the Chairman shall also be promptly provided with a doctor's note containing details of the nature of the medical emergency and the facility where treatment was received by the patient, as well as information regarding the date, time, and mode of travel by which the patient returned or will return to his/her country of residence.

(l) In acceding to any request for exemption to the travel restrictions first imposed under paragraph 13 of resolution 1596 (2005), the Committee may attach any conditions to the exemption granted that are consistent with paragraphs pursuant to paragraph 14 of resolution 1596 (2005), or paragraph 3 of resolution 1649 (2005),.

10. Exemptions to the Assets Freeze

(a) The Committee will determine whether an exemption to the assets freeze is justified on the basis of paragraph 16 of resolution 1596 (2005). The Committee shall receive notifications from Member States of their intention to authorize, where appropriate, access to frozen funds or other financial assets or economic resources to cover basic expenses, as provided for in paragraph 16 (a) of resolution 1596 (2005) (“the basic expenses exemption”). The Committee, through the Secretariat, will immediately acknowledge receipt of the notification. Should no negative decision be taken by the Committee within the requisite 4 working day period, the Committee, through its Chairman, will inform the notifying Member State thereof. The Committee will also inform the notifying Member State if a negative decision has been taken regarding the notification.

(b) The Committee shall consider and approve, if appropriate, requests by Member States for extraordinary expenses, as provided for in paragraph 16 (b) of resolution 1596 (2005) (“the extraordinary expenses exemption”). Member States are encouraged, when submitting requests for the extraordinary expenses exemption, to report in a timely way on the use of such funds, with a view to preventing such funds from being used to conduct any of the acts described in paragraph 8 of that resolution.

(c) The Committee shall receive notifications from Member States regarding frozen assets which have been determined by relevant States to be the subject of a judicial, administrative or arbitration lien or judgement, in which case the funds, other financial assets and economic resources may be used to satisfy that lien or judgement provided that the lien or judgement was entered prior to the date of resolution 1596 (2009), is not for the benefit of a person or entity designated by the Committee pursuant to paragraph 15 above, and has been notified by the relevant States to the Committee.

(d) The Notifications referred to in subparagraph (a) and (c) above and requests for the extraordinary expenses exemption should, as appropriate, include the following information:

i. recipient (name and address)

ii. recipient's bank information (name and address of bank, account number)

iii. purpose of payment and justification of the determination of the expenses falling under the basic expenses exemption and the extraordinary expenses exemption:

- under the basic expenses exemption:

- basic expenses, including payment for foodstuffs, rent or mortgage, medicines and medical treatment, taxes, insurance premiums, and public utility charges;
- payment of reasonable professional fees and reimbursement of incurred expenses associated with the provisions of legal services;
- fees or service charges for routine holding or maintenance of frozen funds or other financial assets or economic resources.

- under extraordinary expenses exemption:

- extraordinary expenses (other categories than the ones mentioned under paragraph 16 of resolution 1596 (2005):

iv. amount of instalment

v. number of installments

vi. payment starting date

vii. bank transfer or direct debit

viii. interests

ix. specific funds being unfrozen

x. other information.

11. Notifications by exporting States to the Sanctions Committee regarding provision of military equipment to the DRC

(a) Pursuant to paragraph 5 of resolution 1807 (2008), all States are requested to notify the Committee established pursuant to resolution 1533 (2004) prior to the shipment of military equipment to the DRC, and include in such notifications the following information:

1. Identity of the end-user (i.e., end-user certificate) of the equipment (Congolese Ministry of Defence/Interior or relevant State institution);
2. Proposed date of the departure of the shipment;
3. Proposed date of the delivery of the shipment to the DRC;
4. Details of the itinerary of the shipment (place of departure, transit points and place of delivery);
5. Identity of the cargo carrier:
 - Registration number and serial number of the aircraft used to deliver the equipment by air;
 - Name and registration number of the ship for deliveries by sea;
 - Name of the transport company and registration number of the vehicles used for deliveries by road.
6. Number of containers and identification serial number or marking of each container used to ship the equipment;
7. Exact quantity of the shipped equipment, including the exact number of items and the total net weight;
8. Technical specifications of the shipped equipment, including a reference on the state of the equipment including:
 - type of equipment;

- name of the items as per the nomenclature used by the manufacturing company;
- state of the equipment (newly manufactured or year of manufacture if second hand equipment).

9. Marking numbers or codes of each shipped item;

10. Marking numbers of each packaging element used to protect the equipment during the shipment.

(b) Pursuant to paragraph 5 of resolution 1807 (2008), all States are requested to notify the Committee established pursuant to resolution 1533 (2004) prior to the commencement of military training of DRC military personnel, and include in such notifications the following information:

1. Exact number of the trainers and proposed date of arrival in the DRC;
2. Exact location of the training to be delivered;
3. Proposed date of commencement of training;
4. Proposed date of conclusion of training;
5. Identity of the FARDC units to be trained;
6. Nature of the training performed.

12. Outreach

- (a) The Committee shall make relevant information publicly available through appropriate media, including the List referred to in paragraph 6 of these Guidelines;
- (b) The Committee shall assist States, where necessary, in implementing the measures imposed by resolution 1896 (2009), particularly with regard to tracing and freezing the funds, other financial assets and economic resources of individuals and entities inscribed on the list referred to in paragraph 6 above;
- (c) In order to enhance the dialogue with Member States and to publicize the work of the Committee, the Chairman will on a regular basis hold briefings for all interested Member States, as well as brief interested Member States and the press following formal meetings of the Committee. In addition, the Chairman may, after prior consultations and with the approval of the Committee, hold press conferences and/or issue press releases on any aspect of the Committee's work.
- (d) The Secretariat shall maintain a website for the Committee which should include all public documents relevant to the Committee's work, including the List, relevant resolutions, public reports of the Committee, relevant press releases, reports submitted by Member States pursuant to paragraph 5 of resolution 1896 (2009), and reports of the Group of Experts on the DRC. Information on the website should be updated in an expeditious manner.
- (e) The Committee may consider, as appropriate, visits by the Chairman and/or Committee Members to selected countries to enhance the full and effective implementation of the measures referred to above, with a view to encouraging States to comply fully with the relevant resolutions:
 - i. The Committee shall consider and approve the proposal to visit selected countries, and coordinate such visits with the other subsidiary organs of the Security Council as appropriate.
 - ii. The Chairman will contact the selected countries through their Permanent Missions in New York, and will also send letters seeking their prior consent and explaining the objectives

of the trip.

iii. The Secretariat and the Group of Experts will provide the Chairman and the Committee with the necessary assistance in this regard.

iv. Upon his/her return the Chairman will prepare a comprehensive report on the findings of the trip and will brief the Committee orally and in writing.

* * * * *